

Discrete exterior calculus for phonon propagation in layered periodic structures

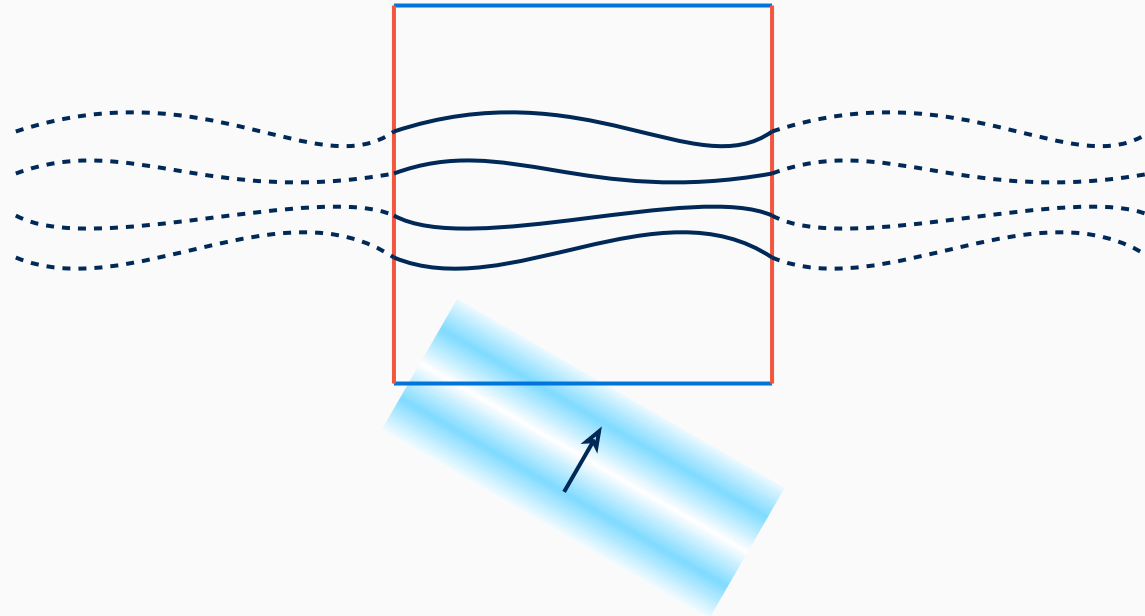
Mikael Myyrä
mikael.b.myyra@jyu.fi
University of Jyväskylä

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Problem description

Phonon: pseudoparticle described by a vibration in an elastic material

Given some material structure, compute transmission and reflection probabilities for different phonon modes



Applications at different wavelength scales: heat (nm), acoustics (m), seismology (km) [1]

Elastic waves

Governing equations

Hooke's law: stress is linearly proportional to strain

$$\sigma_{ij} = C_{ijkl} u_{k;l}$$

Isotropic material and $F = ma$:

$$\rho \frac{\partial^2 u}{\partial t^2} = (\lambda + 2\mu) \nabla(\nabla \cdot u) + \mu \nabla \times \nabla \times u$$

..but can't solve directly with first-order DEC

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Helmholtz decomposition of displacement velocity $v = \frac{\partial u}{\partial t} = \nabla\Phi + \nabla \times \Psi$

Φ and Ψ satisfy independent scalar wave equations

$$\begin{cases} \rho \frac{\partial^2 \Phi}{\partial t^2} = (\lambda + 2\mu) \nabla^2 \Phi \\ \rho \frac{\partial^2 \Psi}{\partial t^2} = \mu \nabla^2 \Psi \end{cases}$$

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Φ and Ψ satisfy independent scalar wave equations

$$\begin{cases} \rho \frac{\partial^2 \Phi}{\partial t^2} = (\lambda + 2\mu) \nabla \cdot v \\ \rho \frac{\partial^2 \Psi}{\partial t^2} = \mu \nabla \times v \end{cases}$$

Additionally we have (by definition + smoothness)

$$\frac{\partial \mathbf{v}}{\partial t} = \nabla \frac{\partial \Phi}{\partial t} + \nabla \times \frac{\partial \Psi}{\partial t}$$

Introduce $\mathbf{p} = \rho \frac{\partial \Phi}{\partial t}$, $\mathbf{w} = \rho \frac{\partial \Psi}{\partial t}$ representing longitudinal and transverse stress potentials, get the first-order system

$$\begin{cases} \frac{\partial p}{\partial t} = (\lambda + 2\mu) \nabla \cdot \mathbf{v} \\ \frac{\partial \mathbf{w}}{\partial t} = \mu \nabla \times \mathbf{v} \\ \rho \frac{\partial \mathbf{v}}{\partial t} = \nabla p + \nabla \times \mathbf{w} \end{cases}$$

3D elastic waves come in three polarizations: P, SV, SH

P-waves are longitudinal (pressure) waves with displacement velocity $\mathbf{v}_p = \nabla\Phi$

SV waves are transverse (shear) waves with $\mathbf{v}_s = \nabla \times \Psi$ in the (x, y) plane

SH waves are shear waves with \mathbf{v}_s along the \mathbf{z} axis

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Differential forms for 2D (P,SV) waves:

$\mathbf{q}^1 = \star \mathbf{v}^1$ for flux of velocity,

\mathbf{p}^0 for pressure,

\mathbf{w}^0 for (z-component of) shear potential

$$\left\{ \begin{array}{l} \frac{\partial \mathbf{p}^0}{\partial t} = (\lambda + 2\mu) \star d\mathbf{q}^1 \\ \frac{\partial \mathbf{w}^0}{\partial t} = \mu \star d\star \mathbf{q}^1 \\ \rho \frac{\partial \mathbf{q}^1}{\partial t} = \star d\mathbf{p}^0 - d\mathbf{w}^0 \end{array} \right.$$

Discretization

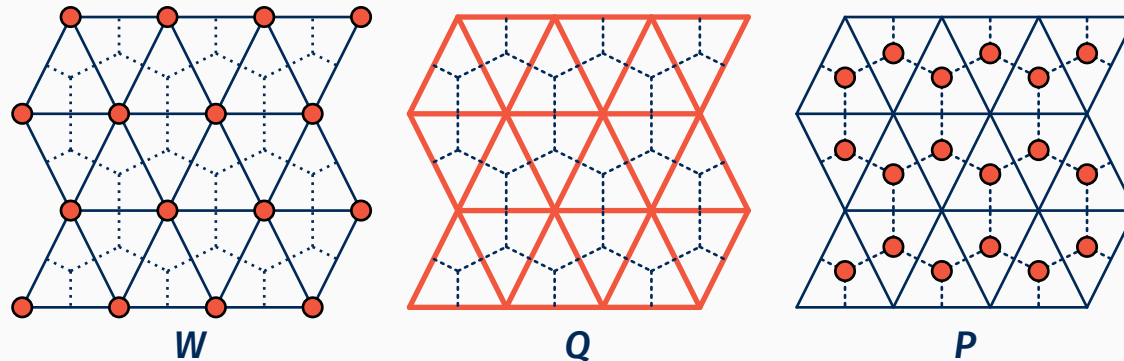


Discretization

DEC space discretization: replace differential forms with cochains,
exterior calculus operators \mathbf{d}, \star with matrices \mathbf{d}_k, \star_k

$$\begin{cases} \frac{\partial \overset{0}{p}}{\partial t} = (\lambda + 2\mu) \star d \overset{1}{q} \\ \frac{\partial \overset{0}{W}}{\partial t} = \mu \star d \star \overset{1}{q} \\ \rho \frac{\partial \overset{1}{q}}{\partial t} = \star d \overset{0}{p} - d \overset{0}{W} \end{cases} \Rightarrow \begin{cases} \frac{\partial P}{\partial t} = (\lambda + 2\mu) \star_2 \mathbf{d}_1 Q \\ \frac{\partial W}{\partial t} = \mu \star_0^{-1} \mathbf{d}_0^T \star_1 Q \\ \rho \frac{\partial Q}{\partial t} = \star_1^{-1} \mathbf{d}_1^T P - \mathbf{d}_0 W \end{cases}$$

W (shear) is a primal 0-cochain, Q (flux) is a primal 1-cochain, P (pressure) is a dual 0-cochain



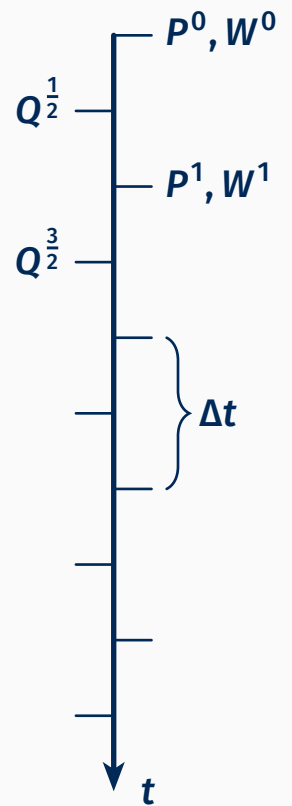
Discretization

Leapfrog time discretization (central differences in time, $X^n := X(n\Delta t)$)

$$\frac{\partial P}{\partial t} \approx \frac{P^{n+1} - P^n}{\Delta t}, \quad \frac{\partial W}{\partial t} \approx \frac{W^{n+1} - W^n}{\Delta t}, \quad \frac{\partial Q}{\partial t} \approx \frac{Q^{n+\frac{3}{2}} - Q^{n+\frac{1}{2}}}{\Delta t}$$

Substitute and multiply by Δt , get timestep equations

$$\begin{cases} P^{n+1} = P^n + \Delta t (\lambda + 2\mu) \star \mathbf{d} Q^{n+\frac{1}{2}} \\ W^{n+1} = W^n + \Delta t \mu \star \mathbf{d} \star Q^{n+\frac{1}{2}} \\ Q^{n+\frac{3}{2}} = Q^{n+\frac{1}{2}} + \frac{\Delta t}{\rho} (\star \mathbf{d} P^{n+1} - \mathbf{d} W^{n+1}) \end{cases}$$



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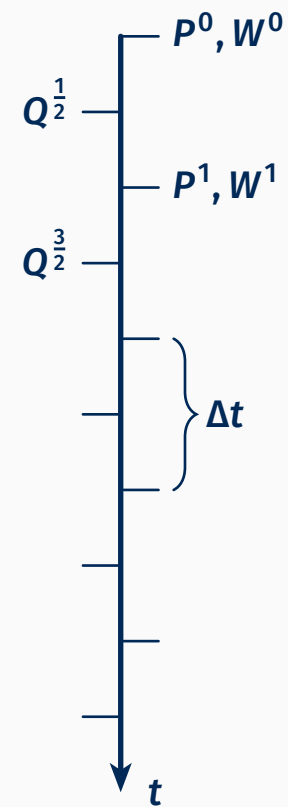
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Explicit \Rightarrow efficient!

Conditionally stable: $\frac{c_{\max}}{h_{\min}} \Delta t$ must be low enough (CFL condition)



Remark: energy conservation

This scheme preserves the discrete energy

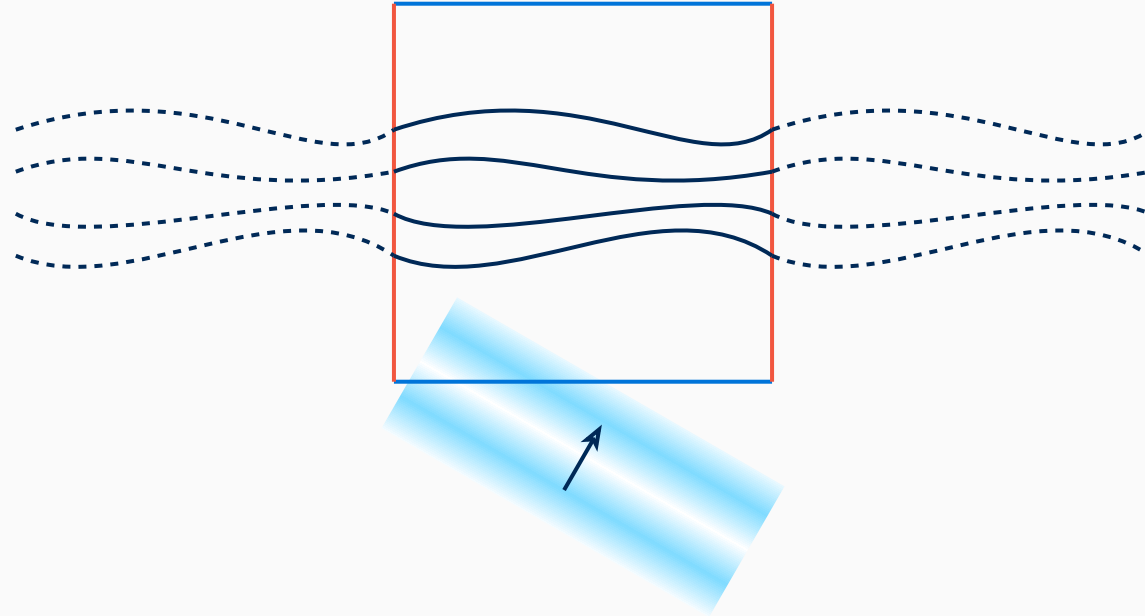
$$E^n = \begin{bmatrix} P^n & W^n & Q^n \end{bmatrix} \begin{bmatrix} (\lambda + 2\mu)^{-1} & & \\ & \mu^{-1} & \\ & & \rho \end{bmatrix} \begin{bmatrix} P^n \\ W^n \\ Q^n \end{bmatrix}$$

(shown for a general class of wave propagation problems by Råbinä et al. [2])

Can be verified by a simple numerical experiment: set a reflecting boundary condition and observe energy over time

Domain and boundary conditions

Domain and boundary conditions



Horizontally periodic, vertically finite structure with piecewise constant materials

Top and bottom: absorbing boundary

Left and right: periodic boundary

Within the domain: material boundaries

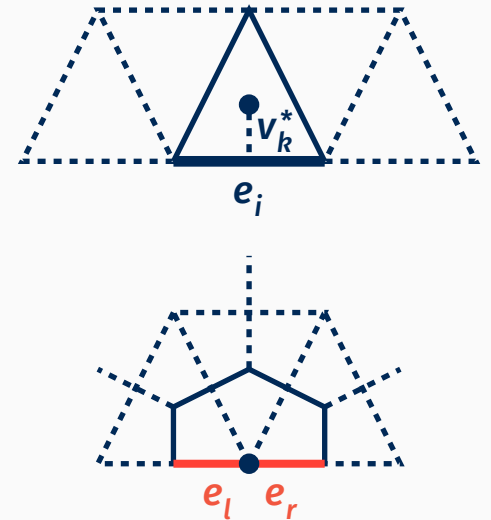
Absorbing boundary

First order Engquist-Majda absorbing boundary condition [3]

$$\frac{1}{c} \frac{\partial \Phi}{\partial t} + \hat{n} \cdot \nabla \Phi = 0$$

Applied separately to velocity potentials $\Phi = \frac{p}{\rho}$, $\Psi = \frac{w}{\rho}$, becomes

$$\frac{1}{\rho c_p} p + \underbrace{\hat{n} \cdot v}_{=q} = 0, \quad \frac{1}{\rho c_s} (w \times \hat{n}) \times \hat{n} + v \times \hat{n} = 0$$



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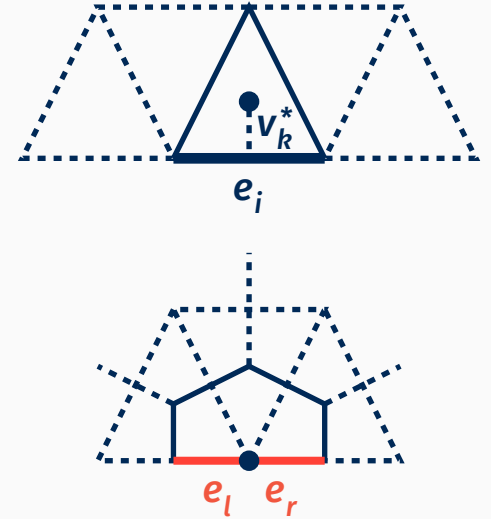
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Implemented in the discrete case as

$$Q_i^{n+\frac{1}{2}} = -\frac{1}{\rho c_p} |e_i| P_k^n \quad (\text{replaces timestep}), \quad W_i^n = W_i^n - \frac{1}{2} (|e_l| + |e_r|) c_s W_i^n \quad (\text{extra term prior to timestep})$$



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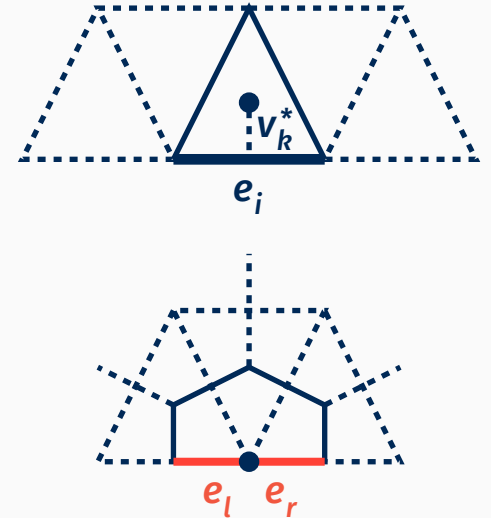
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Only absorbs waves at a specific propagating angle completely. Multiply by $\cos \theta$ to optimize the boundary condition for angle θ

For structures with a dominant axis we can compute θ *a priori* using Snell's law



Velocity decomposition

Problem: cannot satisfy both P and SV absorbing boundaries simultaneously

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Solution: split velocity into two components: $\mathbf{Q} = \mathbf{Q}_p + \mathbf{Q}_s$ where $\nabla \times \mathbf{Q}_p = \nabla \cdot \mathbf{Q}_s = \mathbf{0}$ everywhere except at material boundaries

Now we can apply absorbing boundaries separately to \mathbf{Q}_p and \mathbf{Q}_s and still capture the interaction between P and SV waves at material boundaries

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Timestep equations are now

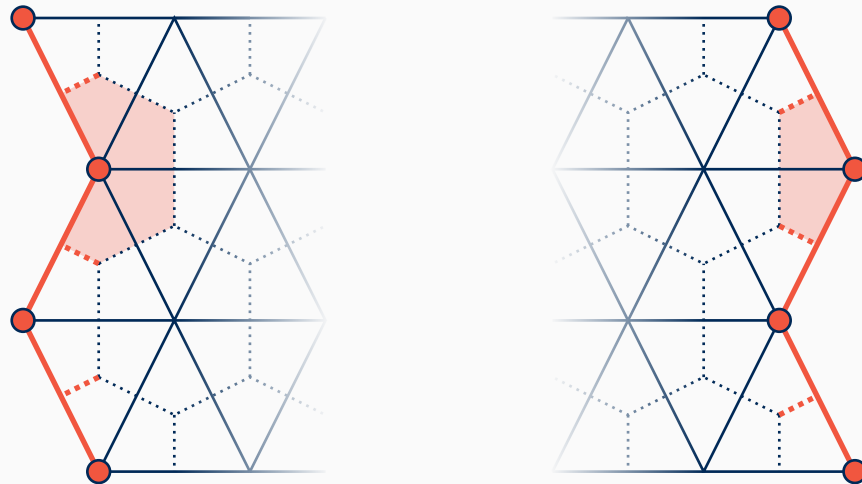
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Periodic boundary

Projection operator on cochains that sums values of opposite edges and vertices:

$$P_{ij} = \begin{cases} 1, & \text{if } i = j \text{ or } \sigma_i \text{ is opposite to } \sigma_j \\ 0, & \text{otherwise} \end{cases}$$

Adjusted Hodge star that sums together opposite dual volumes ($\star_{ij} = \frac{\text{dual vol}}{\text{primal vol}}$)

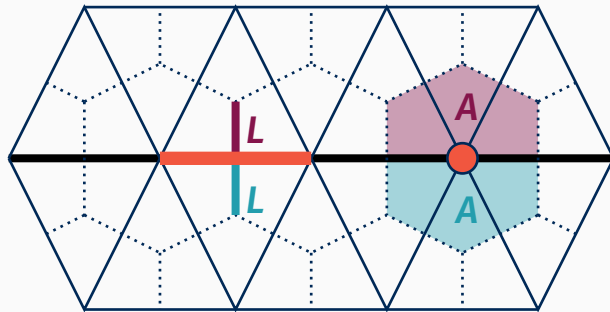


Material boundaries

Materials in welded contact: continuity of displacement and stress

Achieved automatically: single value of velocity and stress (potentials) per mesh element

Material parameters assigned as averages weighted by dual volume as in FDTD [4]



$$\mu_{\bullet} = \frac{A + A}{\frac{A}{\mu} + \frac{A}{\mu}} \quad (\text{harmonic average})$$

$$\rho_{-} = \frac{L\rho + L\rho}{L + L} \quad (\text{arithmetic average})$$

Source terms

Plane wave with values w_{in}, q_{in}, p_{in} incident from below at angle θ_{in}

Applied by adding source values to cochains W, Q, P at the bottom boundary

Eased in and out by multiplying with $f(t) = \left(2 - \sin\left(\frac{t}{t_{tr}} \frac{\pi}{2}\right)\right) \sin\left(\frac{t}{t_{tr}} \frac{\pi}{2}\right)$ [5]

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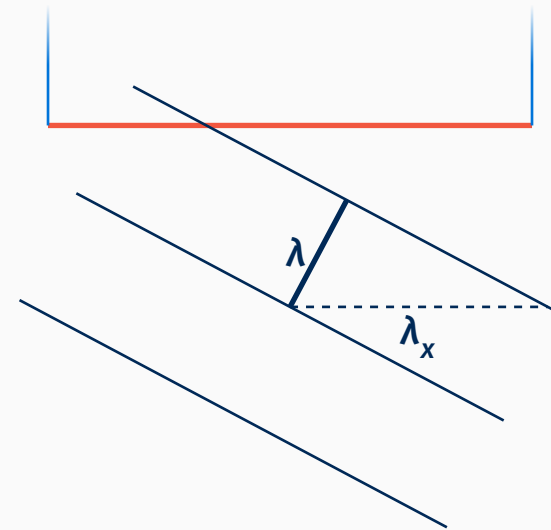
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If domain width isn't a multiple of λ_x , incident wave is discontinuous at the periodic boundary



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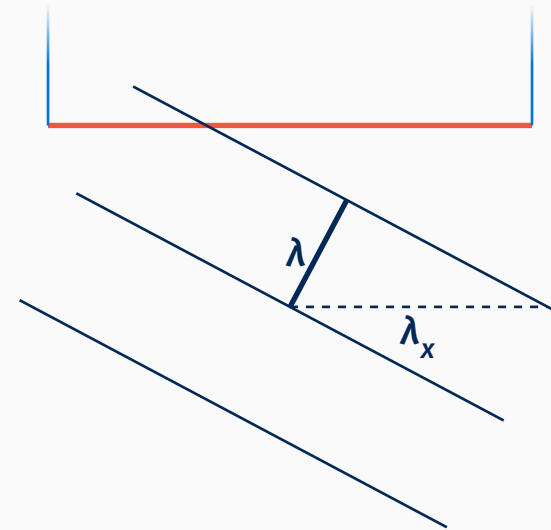
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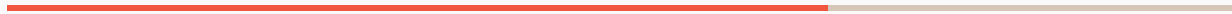
If domain width isn't a multiple of λ_x , incident wave is discontinuous at the periodic boundary

If all material boundaries are planar, we can choose width of the domain \Rightarrow no problem!

Otherwise, phase-shifting periodic boundary needed (not implemented yet)



Numerical experiments



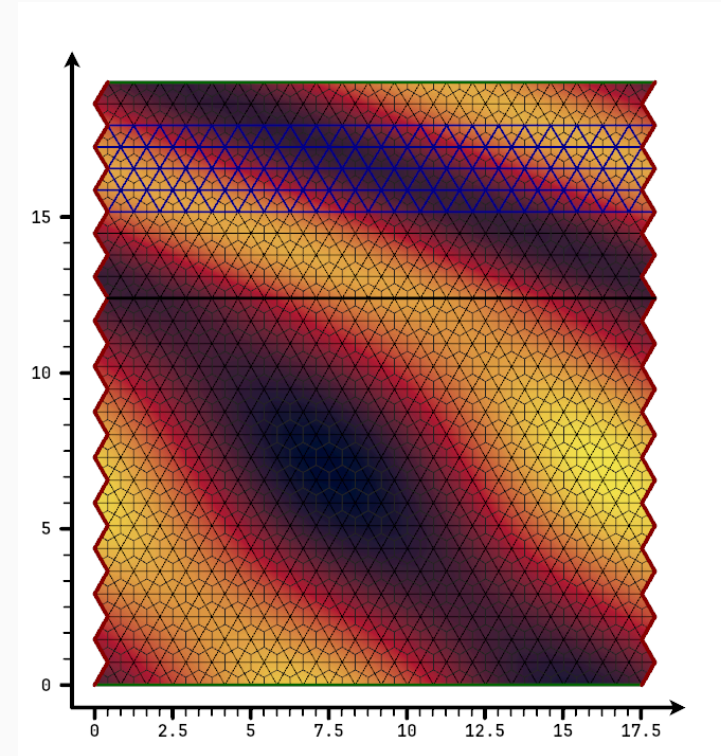
Single planar boundary

Analytic solutions for reflection and transmission coefficients can be computed from continuity of displacement and stress [6]

Structured mesh of (approximately) equilateral triangles generated on the fly to match width with λ_x

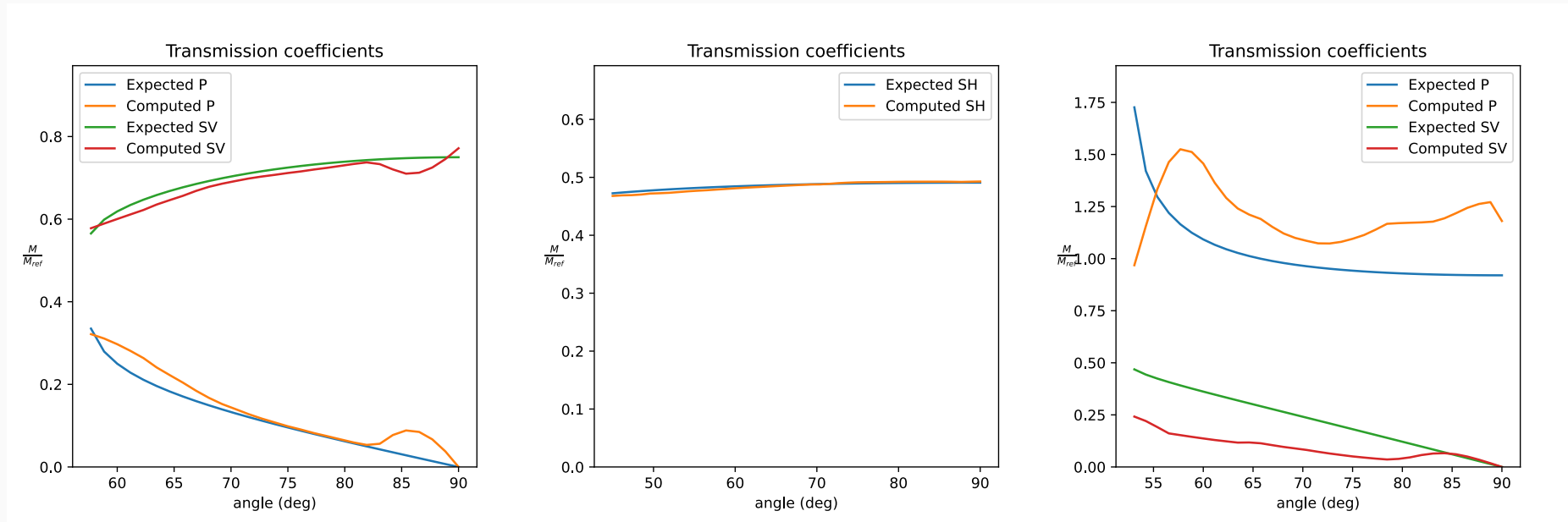
Measurement of energy and velocity amplitudes in a strip of triangles at the top

Run the simulation twice: once with constant material parameters to obtain free-space reference measurements M_{ref} and with materials applied to get M ; compute transmission coefficients as $\frac{M}{M_{\text{ref}}}$; compare against analytic values



Numerical experiments

Initial results with a few random combinations of materials:



Works well with most material combinations but sometimes fails (as in rightmost figure); investigation underway

Numerical experiments

Bragg mirror

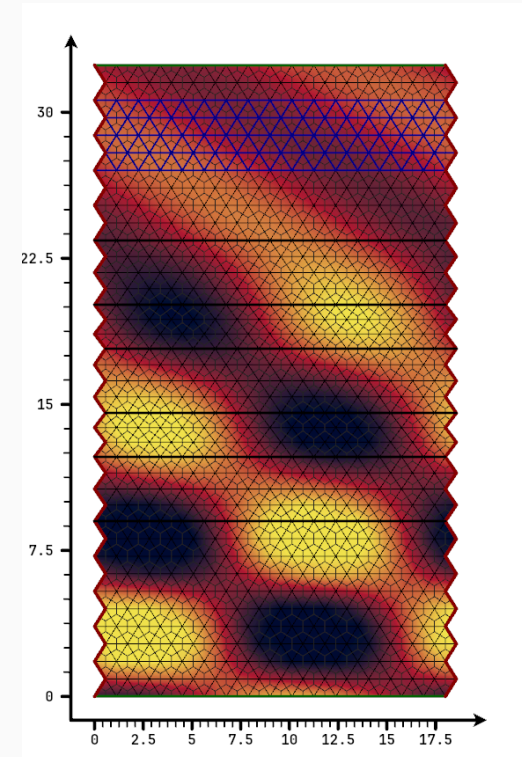
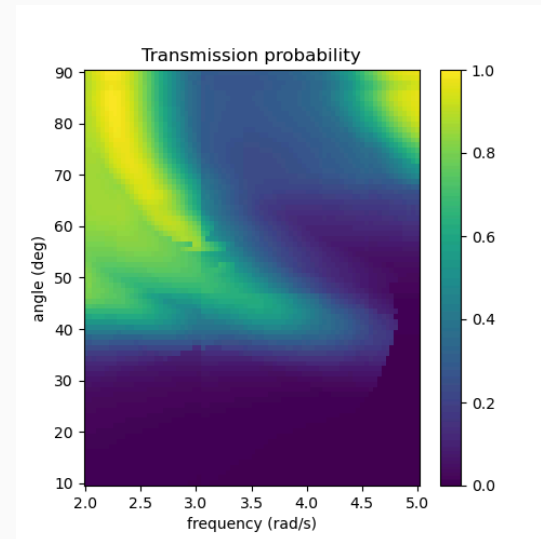
Multiple planar layers of alternating materials with quarter-wavelength thickness (for a specific wavelength we wish to reflect)

Otherwise exactly the same as the one-interface case

More limited analytic solutions still available

Compute phonon transmission probability (square of transmission coefficient) for a range of frequencies and angles

Useful for estimating heat conductivity



Future plans

More complicated 2D geometry

- requires phase-shifting periodic boundary and a different mesh generating strategy (FEM mesh generators like gmsh are ok but not optimal)

3D proof of concept

- mesh generation is an issue again, but DEC scheme generalizes easily

Implementation

dexterior: general-purpose Rust library, inspired by PyDEC [7]

<https://codeberg.org/molentum/dexterior/>

Given a simplicial mesh of any dimension, generates **d**, **★** and various utilities

Type inference and compile-time checks to ensure dimensions match

Real-time visualizer (2D only for now)

Code example: SH wave

```
use dexterio::*;  
  
let mesh = SimplicialMesh::new(vertices, indices);  
  
type Velocity = Cochain<0, Primal>;  
type Shear = Cochain<1, Primal>;  
  
let mut v: Velocity = mesh.integrate_cochain(...);  
let mut w: Shear = mesh.integrate_cochain(...);  
  
let v_step: Op<Shear, Velocity>  
    = (dt / dens) * mesh.star() * mesh.d() * mesh.star();  
let w_step: Op<Velocity, Shear> = -dt * mu * mesh.d();  
  
loop {  
    w += &w_step * &v;  
    v += &v_step * &w;  
}
```

$$\mathbf{V}^{n+1} = \mathbf{V}^n + \frac{\Delta t}{\rho} \star \mathbf{d} \star \mathbf{W}^{n+\frac{1}{2}}$$
$$\mathbf{W}^{n+\frac{3}{2}} = \mathbf{W}^{n+\frac{1}{2}} - \Delta t \mu \mathbf{d} \mathbf{V}^{n+1}$$

Acknowledgments

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Thanks also to Felix Mende for discussions on physics and applications

Slides available online at <https://molentum.me/publications/>

and source code at <https://codeberg.org/molentum/phononic/>

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- [6] K. Aki and P. G. Richards, *Quantitative seismology*, 2nd ed. Sausalito: University science books, 2002.
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